

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Hageman *et al.*
Appl No.: 09/944,930 Confirmation No.: 2257
Filed: August 31, 2001 Group Art Unit: 1647
For: STABILIZED FGF FORMULATIONS CONTAINING REDUCING AGENTS

STATEMENT UNDER 37 CFR 3.73(b)

NOVARTIS VACCINES & DIAGNOSTICS, INC., Inc. is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above, and a Certificate of Merger. The assignment was recorded in the Patent and Trademark Office at Reel 012420, Frame 0024, on December 31, 2001. The Certificate of Merger was recorded in the Patent and Trademark Office at Reel 022612, Frame 0869, on April 29, 2009.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

1. From: To:
The document was recorded in the Patent and Trademark Office at
Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the Patent and Trademark Office at
Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11

The undersigned is empowered to sign this statement on behalf of the assignee.

May 18, 2009
Date

Leslie T. Henry
Leslie T. Henry, Registration No. 45,714

Correspondence Address is Customer No. 00826 (Alston & Bird LLP)